

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 005284.00196

First Inventor David Hung

Title Apparatus, Methods, and Kits For Simultaneous Delivery Of A Substance To Multiple Breast Milk Ducts

Express Mail Label No. PTO 142**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 28]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (*if filed*)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
a. Formal; or
b. Informal

5. Oath or Declaration [Total Pages 2]
a. Newly executed (original or copy); or
b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or
ii. paper
c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. §3 73(b) Statement Power of Attorney
(when there is an assignee)

11. English Translation Document (*if applicable*)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: 10 / 072,911 which is a divisional of U.S. Serial No. 09/506,477.

Prior application information: Examiner S. Kennedy

Group / Art Unit: 3763

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label22907
(Insert Customer No. or Attach bar code label here)or Correspondence address below

Name				
Address				
City	State		Zip Code	
Country	Telephone		Fax	

Name (Print/Type)	Brian E. Hanlon	Registration No. (Attorney/Agent)	40,449
Signature	Brian E. Hanlon		Date 6/27/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark


 06/27/03

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

17394 U.S. PTO
06/27/03

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 750)

Complete if Known	
Application Number	TBA
Filing Date	June 27, 2003
First Named Inventor	David Hung
Examiner Name	Unknown
Art Unit	3763
Attorney Docket No.	005284.00196

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																											
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 19-0733 Deposit Account Name Benner & Witcoff, Ltd.				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> </tr> </thead> <tbody> <tr> <td>Fee Code (\$)</td> <td>Fee Code (\$)</td> </tr> <tr> <td>1051 130</td> <td>2051 65</td> <td>Fee Description</td> <td>Fee Paid</td> </tr> <tr> <td>1052 50</td> <td>2052 25</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Surcharge - late provisional filing fee or cover sheet.</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Non-English specification</td> <td></td> </tr> <tr> <td></td> <td></td> <td>For filing a request for <i>ex parte</i> reexamination</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Petition to revive – unavoidable</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Petition to revive – unintentional</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Design issue fee</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td></td> <td></td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Request for expedited examination of a design application</td> <td></td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	1051 130	2051 65	Fee Description	Fee Paid	1052 50	2052 25	Surcharge - late filing fee or oath				Surcharge - late provisional filing fee or cover sheet.				Non-English specification				For filing a request for <i>ex parte</i> reexamination				Requesting publication of SIR prior to Examiner action				Requesting publication of SIR after Examiner action				Extension for reply within first month				Extension for reply within second month				Extension for reply within third month				Extension for reply within fourth month				Extension for reply within fifth month				Notice of Appeal				Filing a brief in support of an appeal				Request for oral hearing				Petition to institute a public use proceeding				Petition to revive – unavoidable				Petition to revive – unintentional				Utility issue fee (or reissue)				Design issue fee				Plant issue fee				Petitions to the Commissioner				Processing fee under 37 CFR 1.17(q)				Submission of Information Disclosure Stmt				Recording each patent assignment per property (times number of properties)				Filing a submission after final rejection (37 CFR § 1.129(a))				For each additional invention to be examined (37 CFR § 1.129(b))				Request for Continued Examination (RCE)				Request for expedited examination of a design application	
Large Entity	Small Entity																																																																																																																														
Fee Code (\$)	Fee Code (\$)																																																																																																																														
1051 130	2051 65	Fee Description	Fee Paid																																																																																																																												
1052 50	2052 25	Surcharge - late filing fee or oath																																																																																																																													
		Surcharge - late provisional filing fee or cover sheet.																																																																																																																													
		Non-English specification																																																																																																																													
		For filing a request for <i>ex parte</i> reexamination																																																																																																																													
		Requesting publication of SIR prior to Examiner action																																																																																																																													
		Requesting publication of SIR after Examiner action																																																																																																																													
		Extension for reply within first month																																																																																																																													
		Extension for reply within second month																																																																																																																													
		Extension for reply within third month																																																																																																																													
		Extension for reply within fourth month																																																																																																																													
		Extension for reply within fifth month																																																																																																																													
		Notice of Appeal																																																																																																																													
		Filing a brief in support of an appeal																																																																																																																													
		Request for oral hearing																																																																																																																													
		Petition to institute a public use proceeding																																																																																																																													
		Petition to revive – unavoidable																																																																																																																													
		Petition to revive – unintentional																																																																																																																													
		Utility issue fee (or reissue)																																																																																																																													
		Design issue fee																																																																																																																													
		Plant issue fee																																																																																																																													
		Petitions to the Commissioner																																																																																																																													
		Processing fee under 37 CFR 1.17(q)																																																																																																																													
		Submission of Information Disclosure Stmt																																																																																																																													
		Recording each patent assignment per property (times number of properties)																																																																																																																													
		Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																													
		For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																													
		Request for Continued Examination (RCE)																																																																																																																													
		Request for expedited examination of a design application																																																																																																																													
FEE CALCULATION																																																																																																																															
1. BASIC FILING FEE																																																																																																																															
<table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> </tr> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1001 750</td> <td>2001 375</td> <td>Utility filing fee</td> <td>750</td> </tr> <tr> <td>1002 330</td> <td>2002 165</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>1003 520</td> <td>2003 260</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>1004 750</td> <td>2004 375</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>1005 160</td> <td>2005 80</td> <td>Provisional filing fee</td> <td></td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	1001 750	2001 375	Utility filing fee	750	1002 330	2002 165	Design filing fee		1003 520	2003 260	Plant filing fee		1004 750	2004 375	Reissue filing fee		1005 160	2005 80	Provisional filing fee																																																																																																			
Large Entity	Small Entity																																																																																																																														
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid																																																																																																																												
1001 750	2001 375	Utility filing fee	750																																																																																																																												
1002 330	2002 165	Design filing fee																																																																																																																													
1003 520	2003 260	Plant filing fee																																																																																																																													
1004 750	2004 375	Reissue filing fee																																																																																																																													
1005 160	2005 80	Provisional filing fee																																																																																																																													
SUBTOTAL (1) (\$ 750)																																																																																																																															
2. EXTRA CLAIM FEES																																																																																																																															
<table border="1"> <thead> <tr> <th>Total Claims</th> <th>-20 **</th> <th>= 0</th> <th>Extre Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> <tr> <th>Independent Claims</th> <th>2</th> <th>-3 **</th> <th>= 0</th> <th>X</th> <th>0</th> </tr> <tr> <th>Multiple Dependent</th> <th></th> <th></th> <th>X</th> <th></th> <th>0</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>				Total Claims	-20 **	= 0	Extre Claims	Fee from below	Fee Paid	Independent Claims	2	-3 **	= 0	X	0	Multiple Dependent			X		0																																																																																																										
Total Claims	-20 **	= 0	Extre Claims	Fee from below	Fee Paid																																																																																																																										
Independent Claims	2	-3 **	= 0	X	0																																																																																																																										
Multiple Dependent			X		0																																																																																																																										
<table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> </tr> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1202 18</td> <td>2202 9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>1201 84</td> <td>2201 42</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>1203 280</td> <td>2203 140</td> <td>Multiple dependent claim, if not paid</td> <td></td> </tr> <tr> <td>1204 84</td> <td>2204 42</td> <td>** Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>1205 18</td> <td>2205 9</td> <td>** Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	1202 18	2202 9	Claims in excess of 20		1201 84	2201 42	Independent claims in excess of 3		1203 280	2203 140	Multiple dependent claim, if not paid		1204 84	2204 42	** Reissue independent claims over original patent		1205 18	2205 9	** Reissue claims in excess of 20 and over original patent																																																																																																			
Large Entity	Small Entity																																																																																																																														
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid																																																																																																																												
1202 18	2202 9	Claims in excess of 20																																																																																																																													
1201 84	2201 42	Independent claims in excess of 3																																																																																																																													
1203 280	2203 140	Multiple dependent claim, if not paid																																																																																																																													
1204 84	2204 42	** Reissue independent claims over original patent																																																																																																																													
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent																																																																																																																													
SUBTOTAL (2) (\$ 0)																																																																																																																															
**or number previously paid, if greater; For Reissues, see above																																																																																																																															
Other fee (specify)				*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0)																																																																																																																											

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Brian E. Hanlon	Registration No. Attorney/Agent)	40,449	Telephone	202-824-3000
Signature	<i>Brian E. Hanlon</i>		Date	June 27, 2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form.

Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (end by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231